

# Automorphism Groups of Partially Ordered Sets

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Pomona Research in Mathematical Experience

August 2, 2022



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# Preliminaries

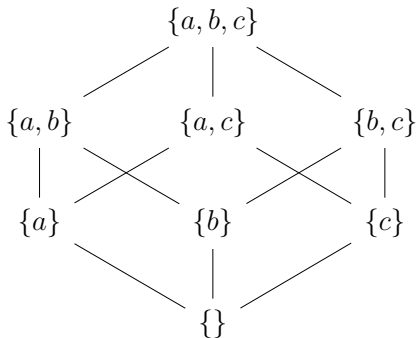
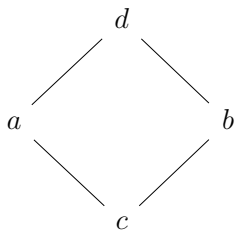


# Poset



## Definition

A **poset**  $(P, \leq_P)$  is a set  $P$  with a relation  $\leq_P$  such that for all  $x, y, z \in P$ , we have  $x \leq_P x$ ;  $x \leq_P y$  and  $y \leq_P x \implies y = x$ ; and  $x \leq_P y$  and  $y \leq_P z \implies x \leq_P z$ .



# Poset examples

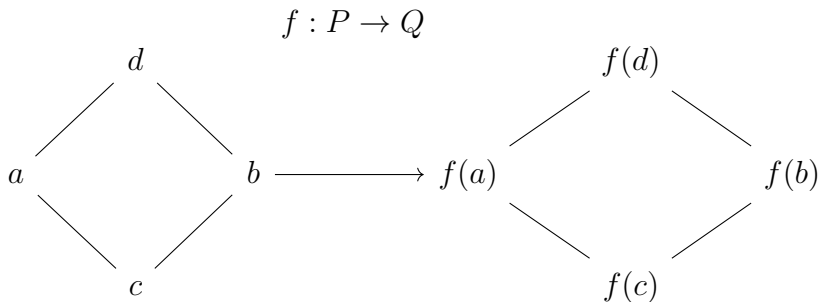


# Poset Map



## Definition

If  $P$  and  $Q$  are posets, then  $f : P \rightarrow Q$  is a **poset map** if for all  $x, y \in P$ ,  $x \leq_P y \implies f(x) \leq_Q f(y)$ .



# Poset Isomorphism



## Definition

A poset map  $f : P \rightarrow Q$  is said to be **order-reflexive** if for all  $x, y \in P$ ,  $f(x) \leq_Q f(y) \implies x \leq_P y$ .

## Definition

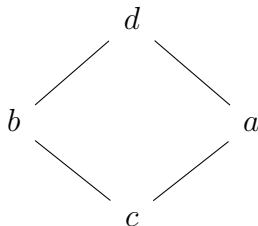
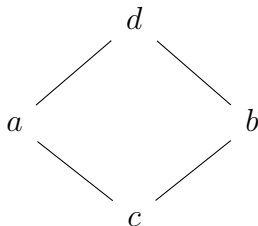
A surjective, order-reflexive map  $f : P \rightarrow Q$  is called a **poset isomorphism**.

# Automorphism



## Definition

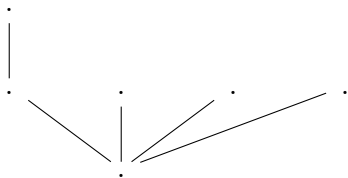
An isomorphism  $f : P \rightarrow P$  is called an **automorphism**. The set of all automorphisms is denoted as  $\text{Aut } P$  and is a group under composition. For any poset  $P$  with  $p$  points,  $\text{Aut } P \leq p!$



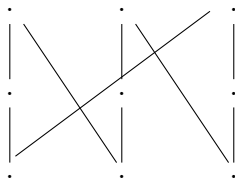
$$\text{Aut } P = Z_2$$



# Automorphism Examples



$$\text{Aut } Q = S_3$$



$$\text{Aut } R = Z_3$$

(Barmak '09)

# Barmak and Minian 2009



## Theorem (Barmak, Minian '09)

If  $G$  is a finite group of order  $n$  with  $r$  elements, then there exists a poset  $P$  with  $n(r + 2)$  points such that  $\text{Aut } P \cong G$ .

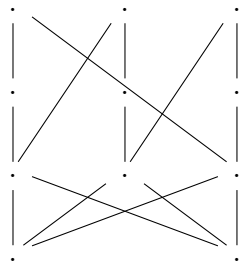
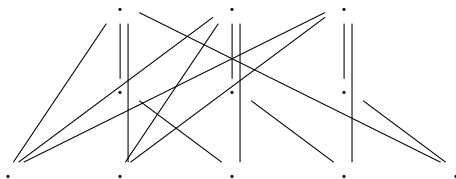
## Theorem (Barmak '20)

If  $G$  is a finite group, then there exists a poset  $P$  of  $4|G|$  points such that  $\text{Aut } P \cong G$ .

# History



- 1939 - Robert Frucht proves that if  $G$  is a finite group of order  $n$  with  $r$  generators, then there exists a poset  $P$  with  $n(r + 2)$  points such that  $\text{Aut } P \cong G$ . His paper was translated into English in 1949.
- 1946 - George Birkhoff proves that any finite group  $G$  is the automorphism group of some poset  $P$ .
- 1972 - M.C. Thornton proves that if  $G$  is a finite group of order  $n$  with  $r$  generators, then there exists a poset  $P$  with  $n(2r + 1)$  points such that  $\text{Aut } P \cong G$ .
- 2009 - Barmak and Minian unknowingly reprove Frucht's theorem
- 2020 - Barmak proves that the poset  $P$  has  $4|G|$  points



# Beta Values of Groups



## Definition

$$\beta(G) = \min\{|P| : P \text{ is a poset with } \text{Aut } P \cong G\}.$$

# Beta Values of Groups



## Definition

$\beta(G) = \min\{|P| : P \text{ is a poset with } \text{Aut } P \cong G\}.$

- $p = 2$                        $2^{k+1} \leq \beta(Z_{2^k}) \leq 2^{k+1} + 12$  if  $k \geq 2$
- $p = 3, 5$                      $2p^k \leq \beta(Z_{p^k}) \leq 2p^k + 3p$
- $p \geq 7$                        $2p^k \leq \beta(Z_{p^k}) \leq 2p^k + p$

# Realizing Finite Groups $G$ with a Minimal Poset $P$



# $\beta(Z_3)$

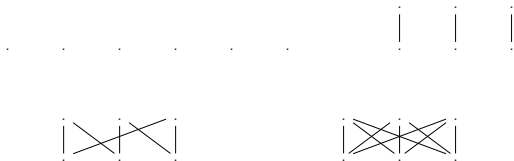


## Theorem (Barmak, '20)

$$\beta(Z_3) = 9$$

## Definition

An **orbit** of an element  $x$  is all possible destinations of  $x$  under group action.





# $\beta(Z_3)$

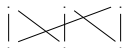


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An **orbit** of an element  $x$  is all possible destinations of  $x$  under group action.



2 orbits of 3  $\implies \text{Aut } P \neq Z_3$

3 orbits of 3  $\implies \text{Aut } P = Z_3$

# $\beta(S_n)$



## Proposition (C., G., M., O., S., '22)

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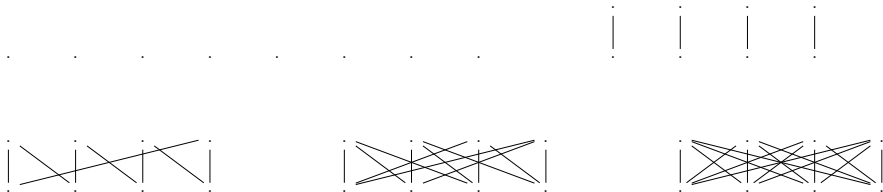
- If  $\text{Aut } P = S_n$ ,  $n \geq 1$  and  $|P| = k$
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- $S_n = \text{Aut } P \leq S_K \implies n! \leq k! \implies n \leq k$
- $\text{Aut } P \cong S_n$
- $\beta(S_n) = n$  for all  $n \in \mathbb{N}$



# Conjectures

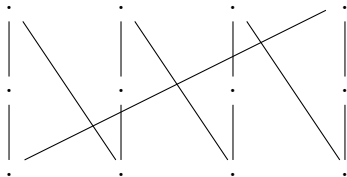
## Conjecture

There is a nice proof of  $\beta(Z_4) = 12$





$\beta(Z_4) = 12$



# Conjectures



## Conjecture

$$\beta(Z_p) = 3p \text{ for any prime } p$$

## Conjecture

$$\beta(Z_{p^k}) = 2p^k + p \text{ for all primes } p \geq 7$$

# Realizing Finitely Generated Abelian Groups



# Realizing Infinite Groups



Previous work has realized all finite groups (Barmak '09)

## Motivating Question

*Can we realize finitely generated abelian groups?*

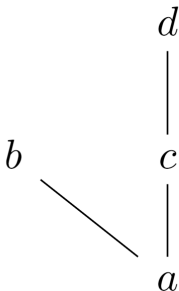
The simplest relevant example is  $\mathbb{Z}$

# Covers



## Definition

Let  $x, y \in P$ . We say that  $y$  covers  $x$  if  $x \leq_P y$  and there is no  $z \in P$  such that  $x <_P z <_P y$ .

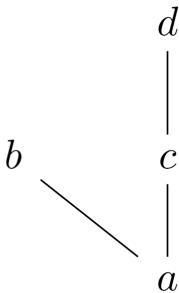


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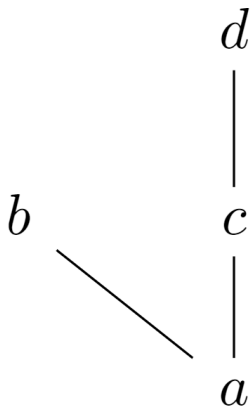


$b$  and  $c$  cover  $a$ , but  
 $d$  does not cover  $a$

# Covers



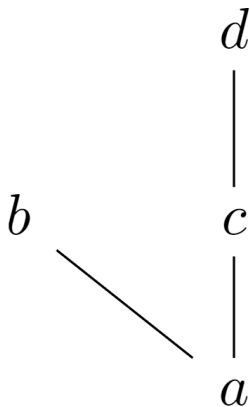
Covers are preserved under automorphisms



# Covers



Covers are preserved under automorphisms



## Proposition

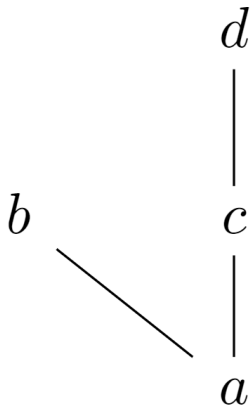
Let  $f \in \text{Aut } P$ . If  $b$  covers  $a$  then  $f(b)$  covers  $f(a)$



# Covers



Covers are preserved under automorphisms

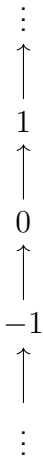


## Proposition

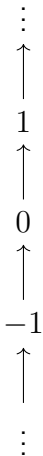
Let  $f \in \text{Aut } P$ . If  $b$  covers  $a$  then  $f(b)$  covers  $f(a)$

*Proof Sketch:* Since  $f$  is order reflexive,  $f(a) < z < f(b)$  implies  $a < f^{-1}(z) < b$

# Realizing the Integers



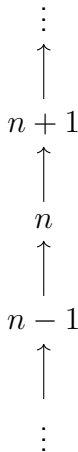
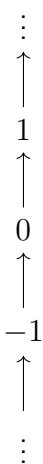
# Realizing the Integers



## Result

*Let  $P = (\mathbb{Z}, \leq)$  with the usual order  $\leq$ . Then  $\text{Aut } P \cong \mathbb{Z}$*

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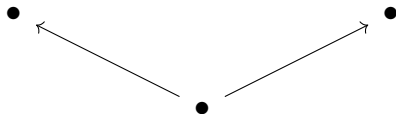
The only automorphisms of  $P$  are  $f(x) = x + n$  for some  $n \in \mathbb{Z}$



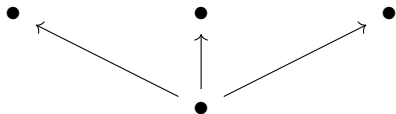
# Going Further

## Motivating Question

*Having realized  $G$  and  $H$ , how do we realize  $G \times H$ ?*



$\text{Aut } P \cong \mathbb{Z}_2$



$\text{Aut } Q \cong S_3$

# Examples



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# Examples



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What is  $\text{Aut } P \sqcup Q$ ?

# Examples



$$\text{Aut } P \cong \mathbb{Z}_2$$

$$\text{Aut } Q \cong \mathbb{Z}_2$$

$$\text{Aut } P \sqcup Q \cong S_4 \not\cong \mathbb{Z}_2 \times \mathbb{Z}_2$$



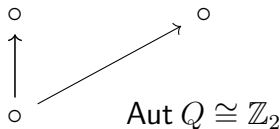
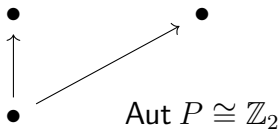
# Connected Posets



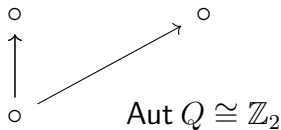
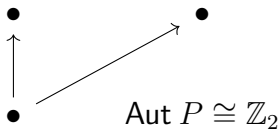
## Definition

*A poset  $P$  is connected if for any  $x, y \in P$ , there exists a sequence of points  $(x = \gamma_1, \gamma_2, \dots, \gamma_n = y)$  in  $P$  such that  $\gamma_i$  is comparable to  $\gamma_{i+1}$  for all  $1 \leq i < n$ .*

# Examples

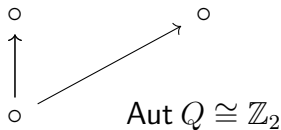
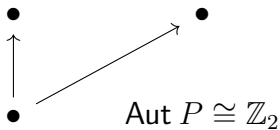


# Examples



What is  $\text{Aut } P \sqcup Q$ ?

# Examples



$$\text{Aut } P \sqcup Q \not\cong \mathbb{Z}_2 \times \mathbb{Z}_2$$

# A Useful Result



## Theorem (C., G., M., O., S., '22)

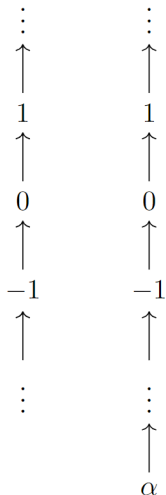
Let  $\mathcal{P} = \sqcup P_i$  be a disjoint union of nonempty posets, where each  $P_i$  is connected, and they are pairwise not isomorphic. Then,

$$\text{Aut } \mathcal{P} \cong \prod \text{Aut } P_i$$



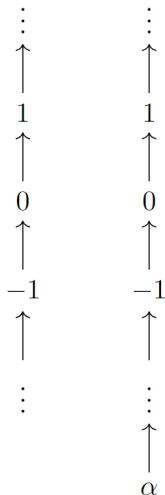
$$\text{Aut } P \sqcup Q \cong \mathbb{Z}_2 \times S_3$$

# Realizing $\mathbb{Z}^r$



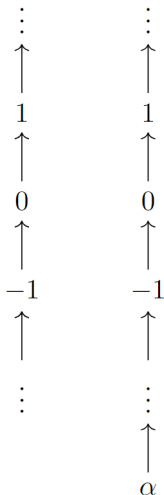
Let  $P_0 = (\mathbb{Z}, \leq)$  and  $P_1 = P_0 \cup \{\alpha\}$ .

# Realizing $\mathbb{Z}^r$



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 We know that  $\text{Aut } P_0 \cong \text{Aut } P_1 \cong \mathbb{Z}$ .

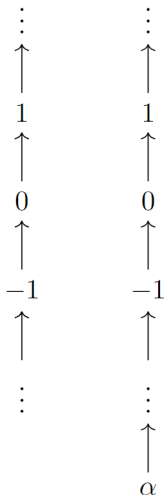
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 They are not isomorphic,  $P_0 \not\cong P_1$

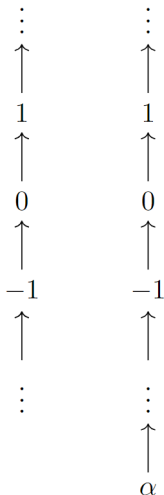


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 They are not isomorphic,  $P_0 \not\cong P_1$   
 Therefore,  $\text{Aut } P \sqcup Q \cong \mathbb{Z} \times \mathbb{Z} \cong \mathbb{Z}^2$

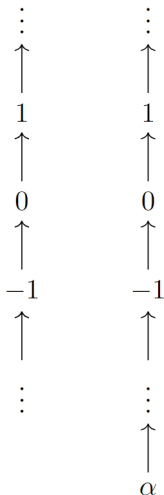
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 We can extend this result to

$$\text{Aut } \bigsqcup_{0 < i < r} P_i \cong \mathbb{Z}^r$$

# Realizing $\mathbb{Z}^r$



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**Result**  
 $\mathbb{Z}^r$  is realizable for all  $r \in \mathbb{N}$ .

# Realizing All F.G.A.G.



## Theorem

Every finitely generated abelian group  $G$  can be decomposed as

$$G \cong \mathbb{Z}^r \times \mathbb{Z}_{n_1} \times \mathbb{Z}_{n_2} \times \dots \times \mathbb{Z}_{n_k}$$

# Main Result



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- $\mathbb{Z}^r$  is realizable

## Theorem (C., G., M., O., S., '22)

*Every finitely generated abelian group is realizable as the automorphism group of some poset*

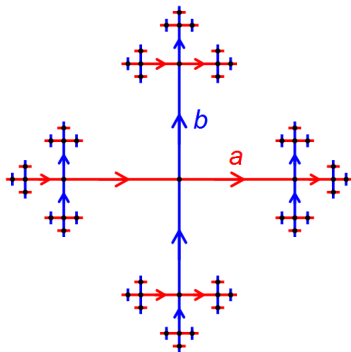
# Free Groups



## Definition

The free group  $F_S$  over the set  $S$  is the set of reduced words that can be built from elements of  $S$

- Let  $S = \{a, b\}$ . Then  $ab^2a \in S$
- We have inverses:  $a^{-1}, b^{-1} \in S$
- $bbaa^{-1} = b^2$



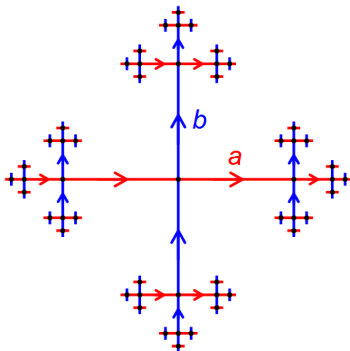
# A Partial Order On Free Groups



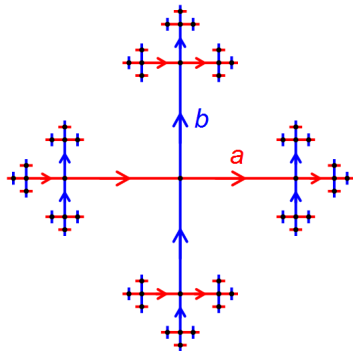
## Theorem (C., G., M., O., S., '22)

Let  $x, y \in F_S$ . The relation  $x \leq y \iff y = xg_1g_2\dots g_k$  where  $g_i \in S \cup \{1_{F_S}\}$  makes  $F_S$  into a poset.

- $a \leq a$  since  $1_{F_S} \in S \cup \{1_{F_S}\}$
- $a \leq ab^2$  since  $b \in S \cup \{1_{F_S}\}$
- $a^{-7} \leq a^{-7}a^7 = 1_{F_S}$
- $b$  is not comparable to  $a$



# Automorphism Group Of Free Group



Consider the map  $f_p(x) = px$  for some  $p \in F_S$ . We proved that this is a poset automorphism. Take  $f_a$  for example:

$$\blacksquare \quad b^{-1} \leq a \iff ab^{-1} \leq a^2$$

## Result

*There exists a poset  $P$  such that  $\text{Aut } P$  contains an isomorphic copy of  $F_S$  for any free group  $F_S$*

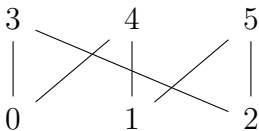
# Counting Automorphisms with Python



# Counting Automorphisms



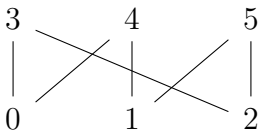
How many automorphisms does this poset have?



# Counting Automorphisms



How many automorphisms does this poset have?



We can use Python to find out

# Counting Automorphisms



How does the code work?



# Counting Automorphisms



How does the code work?

1. Finds  $S_P$  for a poset  $P$

# Counting Automorphisms



How does the code work?

1. Finds  $S_P$  for a poset  $P$
2. Finds and counts all of the bijections that preserve the structure of the poset

# How Do We Count Automorphisms?



Why do we find  $S_P$ ?

# How Do We Count Automorphisms?



Why do we find  $S_P$ ?

## Lemma

*If  $P$  is a poset, then  $\text{Aut } P$  is a subgroup of  $S_P$ .*

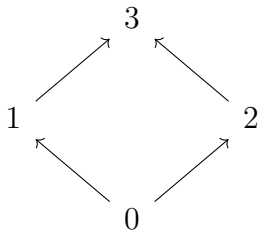
# How Do We Count Automorphisms?



Why do we find  $S_P$ ?

## Lemma

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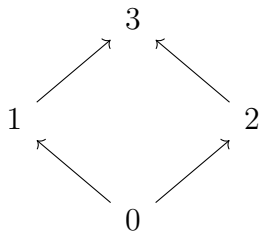
# How Do We Count Automorphisms?



Why do we find  $S_P$ ?

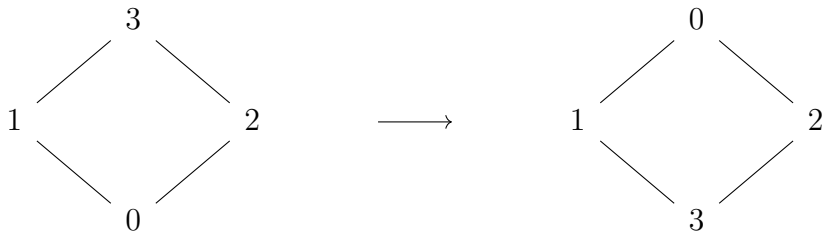
## Lemma

*If  $P$  is a poset, then  $\text{Aut } P$  is a subgroup of  $S_P$ .*



$\text{Aut } P$  is a subgroup of  $S_4$

# How Do We Count Automorphisms?



## Getting Bijections from $S_P$

Let  $P := \{0, 1, 2, 3\}$ .

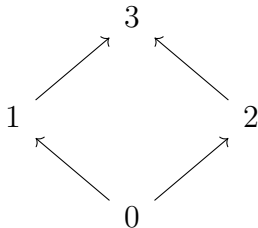
We focus on  $S_4$ .

e.g.  $(0\ 3) \in S_P$

This is the same as the mapping  $0 \mapsto 3$  and  $3 \mapsto 0$ .

This is not an automorphism

# How Do We Count Automorphisms?



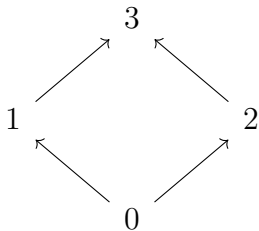
## Checking to See Which Bijections are Automorphisms

We use matrices.

We construct  $C = [c_{ij}]$  where  $i, j \in P$ . We set  $c_{ij} = 1$  if  $i = j$  or if  $j$  covers  $i$ . Otherwise,  $c_{ij} = 0$ .



# How Do We Count Automorphisms?



$$\begin{matrix}
 & 0 & 1 & 2 & 3 \\
 \begin{pmatrix} 1 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \end{pmatrix} & 0 & 1 & 2 & 3
 \end{matrix}$$

# How Do We Count Automorphisms?

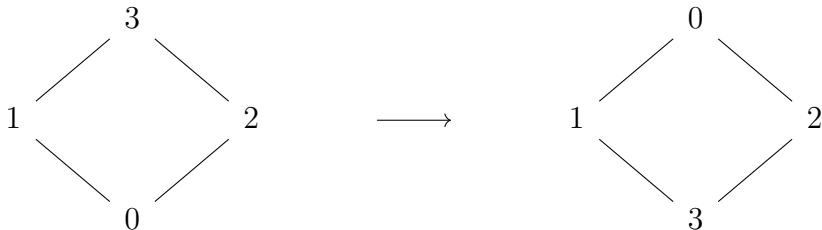


## Applying a Bijection to the Matrix

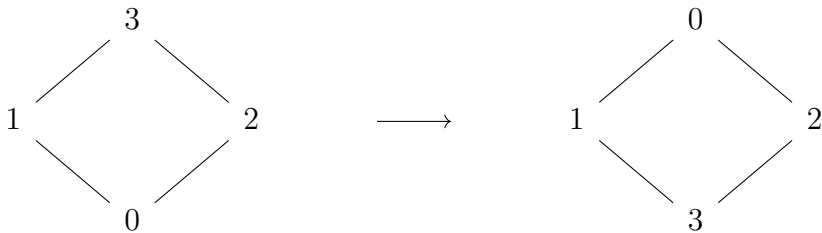
Based on the bijection mappings, we swap the rows and columns of the matrix.

Consider the bijection from earlier

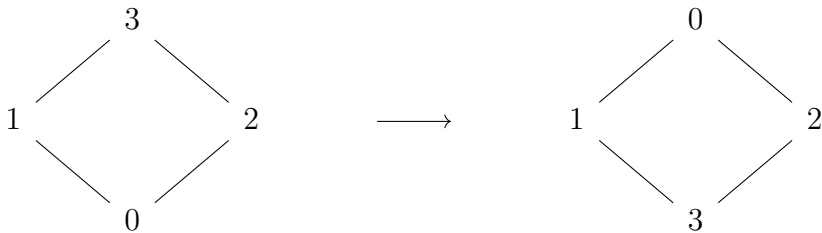
$0 \mapsto 3$  and  $3 \mapsto 0$



# How do We Count Automorphisms?



# How do We Count Automorphisms?



$$\begin{array}{cccc}
 & 0 & 1 & 2 & 3 \\
 0 & \left( \begin{array}{cccc} 1 & 1 & 1 & 0 \end{array} \right) \\
 1 & \left( \begin{array}{cccc} 0 & 1 & 0 & 1 \end{array} \right) \\
 2 & \left( \begin{array}{cccc} 0 & 0 & 1 & 1 \end{array} \right) \\
 3 & \left( \begin{array}{cccc} 0 & 0 & 0 & 1 \end{array} \right)
 \end{array}
 \rightarrow
 \begin{array}{cccc}
 & 3 & 1 & 2 & 0 \\
 3 & \left( \begin{array}{cccc} 1 & 0 & 0 & 0 \end{array} \right) \\
 1 & \left( \begin{array}{cccc} 1 & 1 & 0 & 0 \end{array} \right) \\
 2 & \left( \begin{array}{cccc} 1 & 0 & 1 & 0 \end{array} \right) \\
 0 & \left( \begin{array}{cccc} 0 & 1 & 1 & 1 \end{array} \right)
 \end{array}$$

# How Do We Count Automorphisms?



## When is a Bijection an Automorphism?

A bijection is an automorphism when the bijection does not change the matrix.

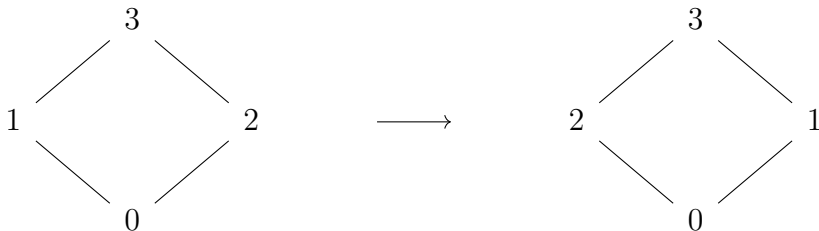


# How Do We Count Automorphisms?

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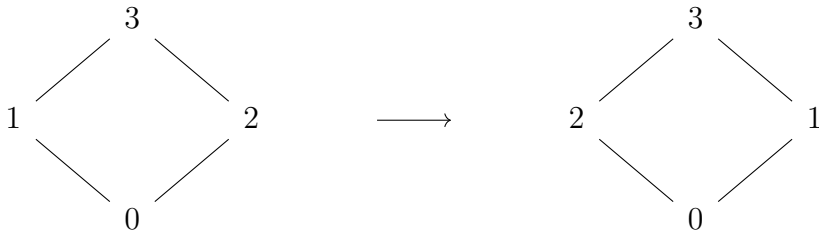
Example:





# How Do We Count Automorphisms?

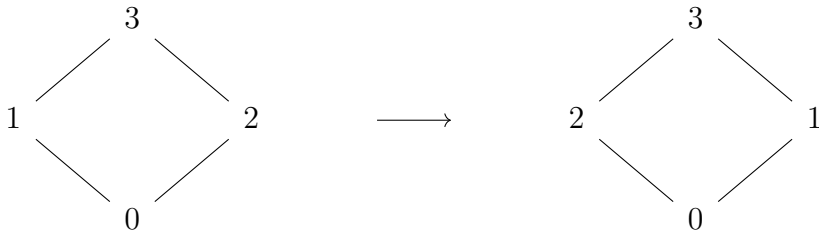
Example:



# How Do We Count Automorphisms?



Example:



$$\begin{array}{c}
 \begin{array}{cccc}
 & 0 & 1 & 2 & 3 \\
 0 & \left( \begin{array}{cccc} 1 & 1 & 1 & 0 \end{array} \right) \\
 1 & \left( \begin{array}{cccc} 0 & 1 & 0 & 1 \end{array} \right) \\
 2 & \left( \begin{array}{cccc} 0 & 0 & 1 & 1 \end{array} \right) \\
 3 & \left( \begin{array}{cccc} 0 & 0 & 0 & 1 \end{array} \right)
 \end{array}
 \end{array}
 \rightarrow
 \begin{array}{c}
 \begin{array}{cccc}
 & 0 & 2 & 1 & 3 \\
 0 & \left( \begin{array}{cccc} 1 & 1 & 1 & 0 \end{array} \right) \\
 2 & \left( \begin{array}{cccc} 0 & 1 & 0 & 1 \end{array} \right) \\
 1 & \left( \begin{array}{cccc} 0 & 0 & 1 & 1 \end{array} \right) \\
 3 & \left( \begin{array}{cccc} 0 & 0 & 0 & 1 \end{array} \right)
 \end{array}
 \end{array}$$



# How Do We Count Automorphisms?



## Putting it All Together

The program finds  $\text{Aut } P$  by

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The program finds  $\text{Aut } P$  by

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# How Do We Count Automorphisms?



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The program finds  $\text{Aut } P$  by

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4. Returning all of the bijections that do not change the matrix and counting the number of such bijections

# How Do We Count Automorphisms?

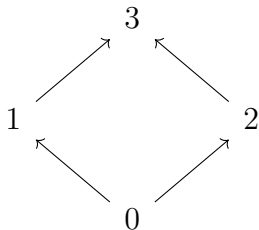


## Putting it All Together

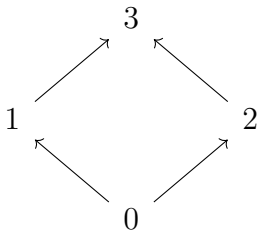
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# Running the Code



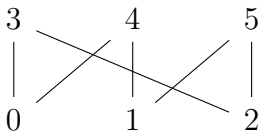
# Running the Code



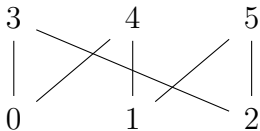
```
This poset has 2 automorphisms
The automorphisms on this poset are :
f0:
0 -> 0
1 -> 1
2 -> 2
3 -> 3
f1:
0 -> 0
1 -> 2
2 -> 1
3 -> 3
```



# Running the Code



# Running the Code



```

This poset has 6 automorphisms
The automorphisms on this poset are :
f0:                f3:
0 -> 0             0 -> 1
1 -> 1             1 -> 2
2 -> 2             2 -> 0
3 -> 3             3 -> 4
4 -> 4             4 -> 5
5 -> 5             5 -> 3

f1:                f4:
0 -> 0             0 -> 2
1 -> 2             1 -> 0
2 -> 1             2 -> 1
3 -> 4             3 -> 5
4 -> 3             4 -> 3
5 -> 5             5 -> 4

f2:                f5:
0 -> 1             0 -> 2
1 -> 0             1 -> 1
2 -> 2             2 -> 0
3 -> 5             3 -> 3
4 -> 4             4 -> 5
5 -> 3             5 -> 4
    
```

# Running the Code



For posets  $P$  of the following form,

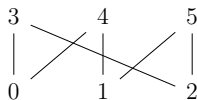


Figure:  $n = 3$

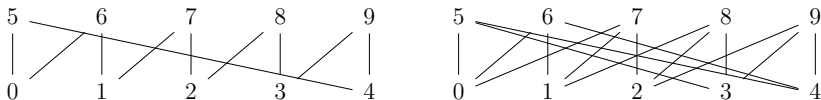


Figure:  $n = 5$

# Running the Code



For posets  $P$  of the following form,

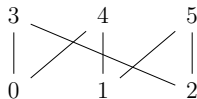


Figure:  $n = 3$

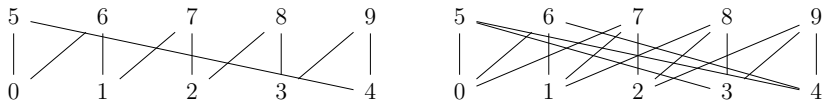
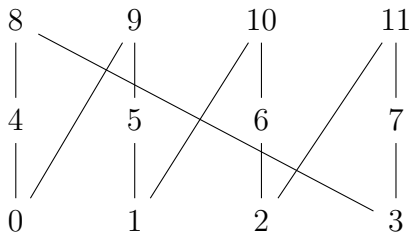


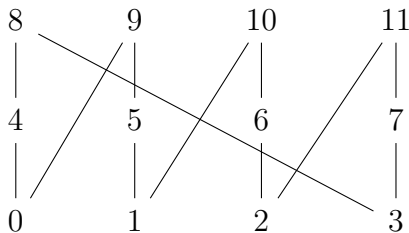
Figure:  $n = 5$

we think that  $\text{Aut } P = D_{2n}$  for  $3 \leq n \leq 7$

# Running the Code

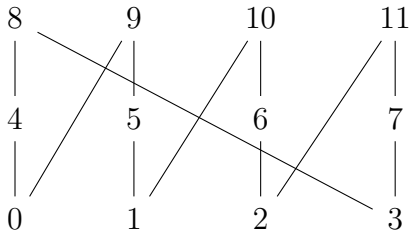


# Running the Code



This takes too long and does not provide an output.  
Why does this happen and how do we fix it?

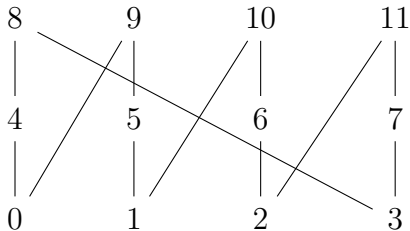
# Making the Code More Efficient



$$|S_{12}| = 12! = 479001600.$$

The program has to check a lot of automorphisms.

# Making the Code More Efficient



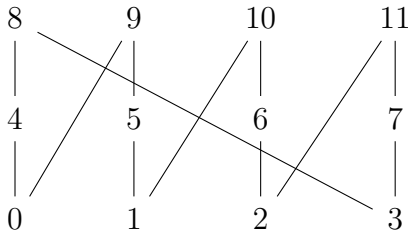
$$|S_{12}| = 12! = 479001600.$$

The program has to check a lot of automorphisms.

How do we reduce the number of things the program must check?



# Making the Code More Efficient



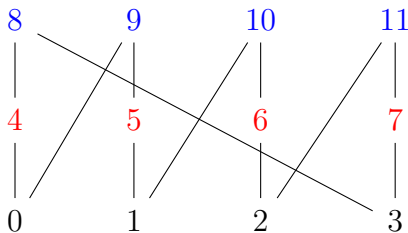
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We can add restrictions.

# Making the Code More Efficient



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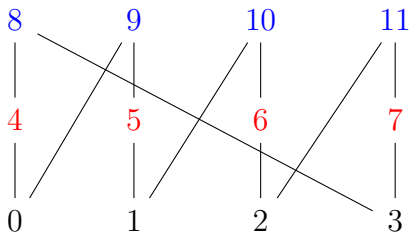
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We find all of the bijections for each height and their combinations

# Making the Code More Efficient



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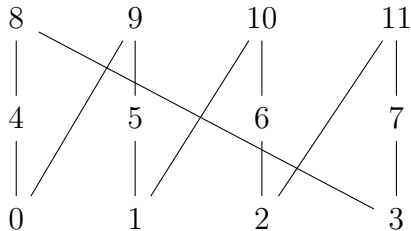
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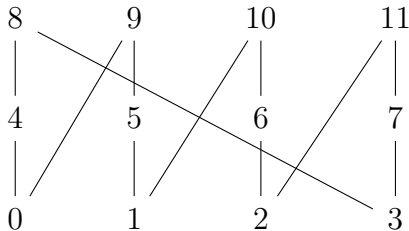
We find all of the bijections for each height and their combinations

We only need to check  $|S_4| \times |S_4| \times |S_4| = 13824$  automorphisms.

# Running the Code



# Running the Code



**This poset has 4 automorphisms**

The automorphisms on this poset are :

<b>f0:</b>	<b>f2:</b>
0 → 0	0 → 2
1 → 1	1 → 3
2 → 2	2 → 0
3 → 3	3 → 1
4 → 4	4 → 6
5 → 5	5 → 7
6 → 6	6 → 4
7 → 7	7 → 5
8 → 8	8 → 10
9 → 9	9 → 11
10 → 10	10 → 8
11 → 11	11 → 9

<b>f1:</b>	<b>f3:</b>
0 → 1	0 → 3
1 → 2	1 → 0
2 → 3	2 → 1
3 → 0	3 → 2
4 → 5	4 → 7
5 → 6	5 → 4
6 → 7	6 → 5
7 → 4	7 → 6
8 → 9	8 → 11
9 → 10	9 → 8
10 → 11	10 → 9
11 → 8	11 → 10

# Future Work



- Conjectures of  $\beta(\mathbb{Z}_{p^k})$
- The free group of rank  $r$  is realizable for all  $r > 1$
- Construction of posets  $P$  such that  $\text{Aut } P = D_{2P}$
- Extending the code to identity  $\text{Aut } P$  for a poset  $P$

# Acknowledgements



We would like to acknowledge and thank the NSF<sup>1</sup>, Cory Colbert, Rodrigo Smith, Edray Goins, Alex Barrios, and all other professors and students who have been part of PRiME '22. We would also like to thank the NSF and Pomona College.

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<sup>1</sup>This material is based upon work supported by the National Science Foundation under Grant No. DMS-2113782. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the author(s) and do not necessarily reflect the views of the National Science Foundation.

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# Thank You!

